

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L99999MH1995PLC094641

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACE1461E

(ii) (a) Name of the company

EDELWEISS FINANCIAL SERVIC

(b) Registered office address

Edelweiss House, Off. C.S.T Road,  
Kalina,  
Mumbai  
Maharashtra  
400098

(c) \*e-mail ID of the company

secretarial.compliance@edelw

(d) \*Telephone number with STD code

02240094400

(e) Website

www.edelweissfin.com

(iii) Date of Incorporation

21/11/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 02/09/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	5.5
2	K	Financial and insurance Service	K2	Activities by Trusts, funds and other financial holding companies	94.5

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 39

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ECAP SECURITIES AND INVESTI	U67190TG2008PLC057122	Subsidiary	100

2	EDELWEISS INVESTMENT ADVI	U74140TG2008PLC120334	Subsidiary	100
3	ECAP EQUITIES LIMITED	U74900MH2008PLC287466	Subsidiary	100
4	EDEL FINANCE COMPANY LIMI	U65920MH1989PLC053909	Subsidiary	100
5	EDELWEISS RURAL & CORPORA	U45201TG2006PLC078157	Subsidiary	100
6	EDELGIVE FOUNDATION	U65999MH2008NPL182809	Subsidiary	100
7	ECL FINANCE LIMITED	U65990MH2005PLC154854	Subsidiary	100
8	EDELWEISS HOUSING FINANCE	U65922MH2008PLC182906	Subsidiary	100
9	EDELWEISS RETAIL FINANCE LI	U67120MH1997PLC285490	Subsidiary	100
10	EDELWEISS ASSET RECONSTRU	U67100MH2007PLC174759	Subsidiary	59.82
11	EDELWEISS ALTERNATIVE ASSE	U67190MH2008PLC182205	Subsidiary	99.05
12	EDELWEISS ASSET MANAGEME	U65991MH2007PLC173409	Subsidiary	100
13	EDELWEISS TRUSTESHIP COM	U67100MH2007PLC173779	Subsidiary	100
14	ALLIUM FINANCE PRIVATE LIM	U67120MH2008PTC180229	Subsidiary	85
15	EDEL INVESTMENTS LIMITED	U65923TG2009PLC112586	Subsidiary	100
16	EDELCAP SECURITIES LIMITED	U67120TG2008PLC057145	Subsidiary	100
17	EDELWEISS GLOBAL WEALTH M	U67100MH2007PLC353035	Subsidiary	100
18	NUVAMA CUSTODIAL SERVICE	U67190MH2021PLC355152	Subsidiary	51
19	COMTRADE COMMODITIES SEI	U66990GJ1995PLC025267	Subsidiary	100
20	EDELWEISS SECURITIES AND IN	U65990MH2009PTC344641	Subsidiary	100
21	EDELWEISS TOKIO LIFE INSURA	U66010MH2009PLC197336	Subsidiary	66
22	ZUNO GENERAL INSURANCE LI	U66000MH2016PLC273758	Subsidiary	100
23	EC INTERNATIONAL LIMITED		Subsidiary	100
24	EDELWEISS ALTERNATIVE ASSE		Subsidiary	100
25	EDELWIESS INTERNATIONAL(S		Subsidiary	100
26	EAAA LLC		Subsidiary	100

27	EW SPECIAL OPPORTUNITIES A		Subsidiary	67
28	SEKURA INDIA MANAGEMENT	U74999MH2021PLC362906	Subsidiary	100
29	EDELWEISS REAL ASSETS MAN	U67110MH2021PLC362755	Subsidiary	100
30	NUVAMA WEALTH MANAGEME	U67110MH1993PLC344634	Associate	38.88
31	NUVAMA WEALTH FINANCE LI	U67120MH1994PLC286057	Associate	38.88
32	NUVAMA WEALTH AND INVES	U65100GJ2008PLC077462	Associate	38.88
33	NUVAMA CLEARING SERVICES	U51109MH2008PLC187594	Associate	38.88
34	NUVAMA ASSET MANAGEMEN	U67190MH2019PLC343440	Associate	38.88
35	ENUVAMA INVESTMENT ADVIS		Associate	38.88
36	NUVAMA INVESTMENT ADVISC		Associate	38.88
37	NUVAMA FINANCIAL SERVICES		Associate	38.88
38	NUVAMA FINANCIAL SERVICES		Associate	38.88
39	NUVAMA CAPITAL SERVICES (II	U65999GJ2016PLC094838	Associate	38.88

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,230,000,000	943,097,965	943,097,965	943,097,965
Total amount of equity shares (in Rupees)	1,230,000,000	943,097,965	943,097,965	943,097,965

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	1,230,000,000	943,097,965	943,097,965	943,097,965
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	1,230,000,000	943,097,965	943,097,965	943,097,965

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	4,000,000	0	0	0
Total amount of preference shares (in rupees)	20,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	4,000,000	0	0	0
Nominal value per share (in rupees)	5	5	5	5
Total amount of preference shares (in rupees)	20,000,000	0	0	0

#### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

#### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>	Physical	DEMAT	Total			
<b>At the beginning of the year</b>	581,110	935,216,967	935798077	935,798,077	935,798,077	
<b>Increase during the year</b>	0	7,300,388	7300388	7,299,888	7,299,888	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	7,299,888	7299888	7,299,888	7,299,888	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0

x. Others, specify		500	500			
Dematerialisation of Equity Shares						
<b>Decrease during the year</b>	500	0	500	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	500		500			
Dematerialisation of Equity Shares						
<b>At the end of the year</b>	580,610	942,517,355	943,097,965	943,097,965	943,097,965	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☒ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	12,458,062	As Annexure	23,749,940,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			23,749,940,000

**Details of debentures**



Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	7,352,200,000	17,107,740,000	710,000,000	23,749,940,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

13,724,730,097.6901

**(ii) Net worth of the Company**

50,002,153,440.7115

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	262,784,490	27.86	0	
	(ii) Non-resident Indian (NRI)	2,950,000	0.31	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,200,000	0.34	0	
10.	Others      Trusts	38,750,000	4.11	0	
	<b>Total</b>	307,684,490	32.62	0	0

**Total number of shareholders (promoters)**

13

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	209,917,540	22.26	0	
	(ii) Non-resident Indian (NRI)	14,069,423	1.49	0	
	(iii) Foreign national (other than NRI)	8,175,199	0.87	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	2,590	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	24,282,094	2.57	0	
4.	Banks	531	0	0	
5.	Financial institutions	356,418	0.04	0	
6.	Foreign institutional investors	292,248,169	30.99	0	
7.	Mutual funds	397,833	0.04	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	37,573,832	3.98	0	
10.	Others              Others	48,389,846	5.13	0	

	<b>Total</b>	635,413,475	67.37	0	0
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**Total number of shareholders (other than promoters)** 222,069

**Total number of shareholders (Promoters+Public/  
Other than promoters)** 222,082

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	13	13
Members (other than promoters)	170,671	222,069
Debenture holders	9,840	46,854

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	1	2	1	21.6	3.29
<b>B. Non-Promoter</b>	2	7	2	6	1.58	0.9
(i) Non-Independent	2	0	2	0	1.58	0
(ii) Independent	0	7	0	6	0	0.9
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	4	8	4	7	23.18	4.19

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

13

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RASHESH CHANDRAK	00008322	Managing Director	145,601,730	
VENKATCHALAM ARA	00008509	Whole-time director	58,126,560	
HIMANSHU NALIN KAJ	00009438	Whole-time director	2,975,000	
RUJAN HARCHAND PA	00237366	Whole-time director	11,951,380	
VIDYA RASHESH SHA	00274831	Director	31,031,200	
PUDUGRAMAM NARA	00499442	Director	270,000	
NAVTEJ SINGH NANDI	02282617	Director	7,974,180	
KUNNASAGARAN CHII	01590108	Director	200,000	
BISWAMOHAN MAHAF	06990345	Director	0	
ASHOK KINI	00812946	Director	0	
ASHIMA GOYAL	00233635	Director	0	
ANANYA SUNEJA	ADQPB5081M	CFO	0	
TARUN KHURANA	AELPK1484K	Company Secretar	61,600	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RENGANATHAN BASH	AADPB8630N	Company Secretar	23/04/2021	Cessation
TARUN KHURANA	AELPK1484K	Company Secretar	23/04/2021	Appointment
BERJIS MINOO DESAI	00153675	Director	06/11/2021	Cessation
SARJU PRAVIN SIMAR	AAWPS9499P	CFO	28/02/2022	Cessation
ANANYA SUNEJA	ADQPB5081M	CFO	01/03/2022	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	03/09/2021	222,893	100	33.97

### B. BOARD MEETINGS

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/06/2021	12	12	100
2	05/08/2021	12	12	100
3	28/10/2021	12	12	100
4	31/01/2022	11	11	100
5	29/03/2022	11	10	90.91

### C. COMMITTEE MEETINGS

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	11/06/2021	4	4	100
2	Audit Committee	05/08/2021	4	4	100
3	Audit Committee	28/10/2021	4	4	100
4	Audit Committee	31/01/2022	4	4	100
5	Nomination & Remuneration Committee	25/05/2021	3	3	100
6	Nomination & Remuneration Committee	31/01/2022	3	3	100
7	Risk Committee	07/06/2021	5	5	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
8	Risk Committee	04/08/2021	5	5	100
9	Risk Committee	27/10/2021	5	5	100
10	Risk Committee	28/01/2022	5	4	80

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	02/09/2022
								(Y/N/NA)
1	RASHESH CH	5	5	100	0	0	0	Yes
2	VENKATCHAI	5	5	100	2	2	100	Yes
3	HIMANSHU N	5	5	100	5	5	100	Yes
4	RUJAN HARC	5	5	100	1	1	100	Yes
5	VIDYA RASHE	5	5	100	1	1	100	No
6	PUDUGRAMA	5	5	100	9	9	100	Yes
7	NAVTEJ SING	5	5	100	6	6	100	Yes
8	KUNNASAGA	5	5	100	11	11	100	No
9	BISWAMOHA	5	5	100	8	7	87.5	Yes
10	ASHOK KINI	5	4	80	1	1	100	Yes
11	ASHIMA GOY	5	5	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RASHESH CHAND	Chairman & Mar	37,230,004			49,539,600	86,769,604
2	VENKATCHALAM A	ExVice Chairma	28,039,601			37,539,600	65,579,201
3	HIMANSHU NALIN	Executive Direct	21,547,564		54,053,225	20,039,600	95,640,389
4	RUJAN HARCHANI	Executive Direct	19,554,732		24,840,928	32,536,300	76,931,960
	Total		106,371,901		78,894,153	139,655,100	324,921,154

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RENGANATHAN B	Company Secre	2,635,286				2,635,286
2	TARUN KHURANA	Company Secre	4,964,701		64,514	2,780,168	7,809,383
3	SARJU SIMARIA	CFO	10,918,270			7,500,000	18,418,270
4	ANANYA SUNEJA	CFO	1,388,995			3,300	1,392,295
	Total		19,907,252		64,514	10,283,468	30,255,234

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VIDYA RASHESH S	Non- Executive I	0	2,000,000			2,000,000
2	PUDUGRAMAM NA	Independent Dir	0	2,000,000		320,000	2,320,000
3	NAVTEJ SINGH NA	Independent Dir	0	2,000,000		240,000	2,240,000
4	KUNNASAGARAN	Independent Dir	0	2,000,000		340,000	2,340,000
5	BISWAMOHAN MA	Independent Dir	0	2,000,000		260,000	2,260,000
6	ASHOK KINI	Independent Dir	0	2,000,000		120,000	2,120,000
7	ASHIMA GOYAL	Independent Dir	0	2,000,000		120,000	2,120,000
8	BERJIS MINOO DE	Independent Dir	0	2,000,000		280,000	2,280,000
	Total		0	16,000,000		1,680,000	17,680,000

## XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- \* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

## XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

## XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

## XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Avinash Bagul

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

19862

### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.



### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

RASHESH  
CHANDRAK  
ANT SHAH  
Digitally signed by  
RASHESH  
CHANDRAKANT SHAH  
Date: 2023.03.29  
13:33:24 +05'30'

DIN of the director

00008322

To be digitally signed by

TARUN  
KHURANA  
Digitally signed by  
TARUN KHURANA  
Date: 2023.03.29  
13:33:58 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

12344

Certificate of practice number

### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

### List of attachments

Other Attachments.pdf  
EFSL- MGT-8 - FY 21-22.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**